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**Art Unit: 2652**

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**From : Winston Hsu, Registration No. 41,526**

**Serial No.: 10/709,021**

**Attorney Docket No.: VOSP0003USA**

**Subject: Information Disclosure Statement (IDS)**

**Total Pages: 28 pages (including cover page)**

**Winston Hsu 2005/11/07**

**VOSP0003USA0\_D1\_2**

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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/709,021	
	Filing Date	04/07/2004	
	First Named Inventor	Yuan-Kun Hsiao	
	Art Unit	2652	
	Examiner Name	YOUNG, WAYNE R	
Total Number of Pages In This Submission	27	Attorney Docket Number	VOSP0003USA

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	North America Intellectual Property Corporation	
Signature	<i>Winston Hsu</i>	
Printed name	Winston Hsu	
Date	11/07/2005	Reg. No. 41,526

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:		
Signature	<i>Cindy Lin</i>	
Typed or printed name	Cindy Lin	Date 11/07/2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (12-04)

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Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# **FEE TRANSMITTAL**

## **For FY 2005**

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$) 0.00

### **Complete if Known**

Application Number 10/709,021  
Filing Date 04/07/2004  
First Named Inventor Yuan-Kun Hsiao  
Examiner Name YOUNG, WAYNE R  
Art Unit 2652  
Attorney Docket No. VOSP0003USA

### **METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_  
☒ Deposit Account Deposit Account Number: **50-3105** Deposit Account Name: North America Intellectual Property Corp.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### **FEE CALCULATION**

#### **1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

#### **2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

**Total Claims**            **Extra Claims**            **Fee (\$)**            **Fee Paid (\$)**            **Multiple Dependent Claims**  
- 20 or HP =            x            =            **Fee (\$)**            **Fee Paid (\$)**             
HP = highest number of total claims paid for, if greater than 20  
**Indep. Claims**            **Extra Claims**            **Fee (\$)**            **Fee Paid (\$)**             
- 3 or HP =            x            =             
HP = highest number of independent claims paid for, if greater than 3

#### **3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

**Total Sheets**            **Extra Sheets**            **Number of each additional 50 or fraction thereof**            **Fee (\$)**            **Fee Paid (\$)**             
- 100 =            / 50 =            (round up to a whole number) x            =           

#### **4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other: submission of Information Disclosure Statement

**Fees Paid (\$)**

0.00

### **SUBMITTED BY**

Signature Winston Hsu Registration No. 41,526 Telephone 302-729-1562  
Name (Print/Type) **Winston Hsu** Date 11/07/2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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**RECEIVED  
CENTRAL FAX CENTER****NOV 07 2005****PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

5 Applicants: Yuan-Kun Hsiao, Luke Wen.

Filing Date: 04/07/2004

Art Unit: 2652

Examiner: Young, Wayne R

Confirmation No.: 3020

Appl. No.: 10/709,021

Docket No.: VOSP0003USA

10 Title: METHOD AND RELATED APPARATUS FOR DECODING  
INFORMATION CARRIED BY WOBBLE SIGNALS

To: The Commissioner for Patents  
P.O. BOX 1450

15 Alexandria, VA 22313-1450

Subject: Information disclosure statement Under 37  
C.F.R. §1.56.

20 Dear Sir:

This is an Information Disclosure Statement in  
accordance with the duty to disclose information  
material to patentability under 37 CFR §1.56. The  
applicant wishes to make of record the document listed  
25 on the accompanying form PTO/SB/08.

The IDS is filed before the mailing date of the first  
Office action. The items contained in the information  
disclosure statement were derived from Office action from  
30 the China Intellectual Property Office on September 2, 2005.  
Therefore consideration of the information disclosure  
statement is hereby requested according to

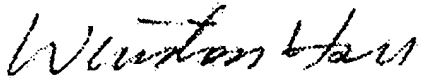
37C.F.R. §1.97(b).

According to the requirement set forth in 37 CFR  
§1.98 and MPEP 609, the applicant is submitting a copy  
5 of the following items contained in the IDS: an English  
abstract of Japanese Publication #JP 11-353686, and an  
English abstract of Chinese Publication #CN 1373474A.

10

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Respectfully submitted,



Date: November 7, 2005

Winston Hsu, Patent Agent No. 41,526

20 P.O. BOX 506, Merrifield, VA 22116, U.S.A.

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25 Note: Please leave a message in my voice mail if you need to  
talk to me. (The time in D.C. is 13 hours behind the Taiwan  
time, i.e. 10 AM in D.C. = 9 PM in Taiwan.)

30